



e-Journal Entry Quick Reference Guide

Table of Contents

The e-Journal Process	2
Preparing an e-Journal	3
Overview of My e-Journal Home Page	3
Step 1- Initiating a New Journal Entry	4
Step 2- Filling Out the "Form"	7
Step 3- Resolving Validation Errors	11
Step 4- Providing Backup Documentation	12
Step 5- Understanding and Managing Approval Routing	15
Step 6- Submitting the Entry	17
Additional Information	18
Monitoring & Tracking e-Journals	18
Month-End Closing Process	22
Sharing the e-Journal	23
Advanced Search Options	24

The e-Journal Process

Q: What is an e-Journal?

The electronic journal entry process is a medium for creating and processing journal entries. The e-Journal process involves multiple people fulfilling various functions between creation and posting of the entry to the General Ledger.

Q: Who uses the e-Journal system?

There are three different roles a user may have in the e-Journal system:

- Preparer – initiates/creates journal entries
- Departmental Approver – reviews/signifies approval of journal transactions
- Viewer – views journal entries

Q: What is the process of preparing and posting an e-Journal to the General Ledger?

The e-Journal process is described below:

1. Preparer initiates the journal entry by:
 - a. Filling out the journal entry form (explanation, chartfields, amounts, etc)
 - b. Attaching or mailing in backup (supporting) documentation (as needed)
 - c. Designating appropriate departmental approvers (if any)
 - d. Verifying the information entered is correct and valid (the system automatically runs checks to verify debits/credits match, chartfield combinations are valid, etc, when the preparer submits the entry)
 - e. Submitting the entry
2. Departmental Approvers approve the entry or send it back to the Preparer if needed.
3. Preparer monitors the entry to ensure it is “submitted” to Central Administration by the journal entry due date (published on the Accounting Calendar). **It is the Preparer’s responsibility to verify that all departmental approvals and backup documentation for the entry are submitted by midnight on the cutoff date.**
4. Central Administration reviews/approves the entry (if corrections are needed, they may make the changes or send it back to the Preparer).
5. After final approval, the system verifies the content is still valid, and if so the e-Journal is considered complete and the system integrates (sends) the entry into the University’s accounting system.
6. New entries saved in the University’s accounting system are posted to the General Ledger every business evening.

Preparing an e-Journal Entry

This section provides a step by step guide to prepare e-Journals, describes each of the application tools in detail, and discusses best practices.

Overview of My e-Journal Home Page

Once you are approved to prepare e-Journals, you are given access to your own e-Journal home page. This page provides you with many resources and information including the status of your e-Journals.

Your e-Journal home page can be found by clicking on the e-journal tile displayed on the CIS home page then click on E-Journal entry.

Your Home page contains four different sections:

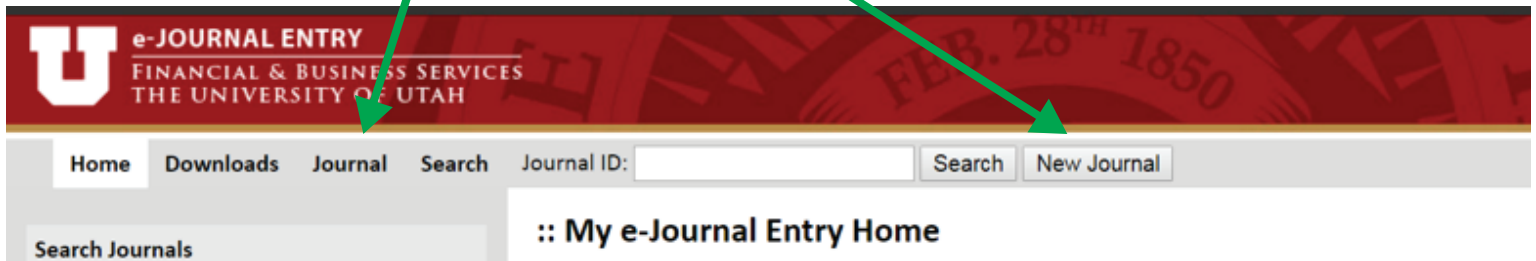
1. **Top menu bar** – links to the 4 pages in the application: Home, Downloads, Journal, Search; a quick search by Journal ID; create a New Journal button.
2. **Search box** – this is a “quick search” menu with limited options.
3. **Help & Documentation** – all application help and support documents
4. **Personalized worklist(s)** – displays journal entries pending your action, and all journals you prepared that are still in process or recently completed. If you have not yet used the e-Journals application to prepare or approve entries, this section will be empty.

The screenshot shows the 'e-JOURNAL ENTRY' home page for Financial & Business Services at The University of Utah. The page is divided into several sections:

- Top menu bar (1):** Includes links for Home, Downloads, Journal, and Search. It also features a 'Journal ID' search field, a 'Search' button, and a 'New Journal' button.
- Search Journals (2):** A sidebar section with fields for JOURNAL ID, STATE (dropdown), ACCTNG DT, and ORG ID. It also includes a 'PREPARER EMPID' field, a checkbox for 'INCLUDE TRASHED JOURNALS?', and a 'Search' button.
- Help & Documentation (3):** A sidebar section with links to various resources: USERS FORUM - UPDATED 2/23/11, ACCOUNTING CALENDAR, PREPARERS GUIDE, APPROVERS GUIDE, VIEWERS GUIDE, EXCEL OPTION GUIDE, NEW PREPARER QUESTIONNAIRE, PREPARER SECURITY ACCESS, and QUESTIONS OR SUGGESTIONS.
- My e-Journal Entry Home (4):** The main content area with the title ':: My e-Journal Entry Home'. It contains a descriptive paragraph and a search tip. Below this are four sections:
 - Preparing:** A table showing 2 journal(s) in progress. The table has columns: JID, ACCTNG DT, EXPLANATION, and STICKY NOTES. Entries include E100000299 and E100000298.
 - Awaiting Approval:** A table showing 1 journal(s) pending approval. It includes a 'My Roles: Dept./Other Approver' dropdown and a 'Status: Pending' dropdown. The table has columns: JID, PREPARER, ORG, STATUS, ACCTNG DT, and NOTES. Entry E100000152 is listed.
 - My Submitted Journals:** A table showing 3 journal(s) submitted. It includes a 'Pending: All' dropdown. The table has columns: JID, ACCTNG DT, STATE, EXPLANATION, MESSAGES, and NOTES. Entries include E100000302, E100000301, and E100000300.
 - My Completed Journals:** A table showing 4 journal(s) completed. It includes an 'Accounting Period: Open' dropdown. The table has columns: JID, ACCTNG DT, GL STATUS, POSTED DT, and EXPLANATION. Entries include E100000292, E100000294, E100000297, and E100000293.

Step 1: Initiating a New Journal Entry

To start a new entry use the **Journal** link or the **New Journal** button in the top menu bar of your e-journal home page.



The e-Journal page contains 5 sections:

1. Header Section
2. Lines – chartfields, amounts, etc
3. Backup Documentation – supporting documents can be attached & viewed here
4. Approvals – routing path and approval status visible here
5. Tracking – audit trail of actions taken on the entry

Journal Entry **Sharing**

JOURNAL ID: EJ00219312 ACCTG DATE: 06/01/2017 JOURNAL STATE: Preparing

CREATED ON: 04/21/2017 OWNER ORGID: 00348 - GENERAL ACCOUNTING - CAMPUS

GL POSTED DATE: SOURCE: EIG - eJournal - General Accounting PREPARED BY: 00047288 - BARKER, MARCI JANE

FROM EXCEL: No SUB SOURCE: 000 - NO SUB SOURCE DEFINED PREPARER EMAIL: marci.barker@admin.utah.edu

RECYCLED: Yes - RECYCLED REQUESTED BY: Marci Barker PREPARER PHONE: 801-581-7296

EXPLANATION: Demo Entry

Backup Documentation

☐ Retained in Department

☐ Backup Being Mailed

Cover Sheet

Lines Backup Documentation Approvals Tracking

Select Lines Journal Lines

SEL	LN	BU	ORG	FUND	ACTIVITY	PROJECT	ACCOUNT	A/U	DEBIT AMOUNT	CREDIT AMOUNT	LINE DESCRIPTION (30 CHAR MAX)	REFERENCE	RELATED JE	STATUS	ERRORS
									\$0.00	\$0.00	Net: \$0.00				

Add Line


Step 1: Initiating a New Journal Entry (continued)

When the e-Journal page first opens you'll find some values are pre-populated in the header (green box in the image below), including the Owner OrgID, which comes from your Preparer security access record, and your contact information, which comes from your employment record

The screenshot displays the 'e-JOURNAL ENTRY' interface for 'FINANCIAL & BUSINESS SERVICES THE UNIVERSITY OF UTAH'. The top navigation bar includes 'Home', 'Downloads', 'Journal', 'Search', 'Rules Administration', and a 'Journal ID' search field. The main header section contains the following information:

- JOURNAL ID:** EJ00008565
- ACCTG DATE:** 03/30/2011
- JOURNAL STATE:** A progress bar showing stages: Preparing (active), Dept Approvals, Awaiting Backup, CA Approvals, Complete, and Posted to GL.
- CREATED ON:** 03/24/2011
- OWNER ORGID:** 01111 - FINANCIAL SOLUTIONS (highlighted with a green box)
- SOURCE:** EJD - eJournal - Campus Departments
- SUB SOURCE:** 000 - NO SUB SOURCE DEFINED
- PREPARED BY:** 00342646 - BAGGETT, REBECCA
- PREPARER EMAIL:** rebecca.baggett@utah.edu
- PREPARER PHONE:** 801/581-5760
- GL POSTED DATE:**
- FROM EXCEL:** No
- RECYCLED:** Yes - (with a refresh icon)
- REQUESTED BY:**
- EXPLANATION:** Demo journal.

Below the header, there is a 'Backup Documentation' section with checkboxes for 'Retained in Department' and 'Backup Being Mailed', and a 'Cover Sheet' button. At the bottom, a red bar shows 'Lines', 'Backup Documentation', 'Approvals', and 'Tracking' tabs, with a 'Select Lines' dropdown and 'Journal Lines' dropdown. A green arrow points from the text below to the collapse/expand icon at the bottom of the header section.

Note the header section can be collapsed (shrunk) if desired to help you view content that extends below your screen. Click on this icon  at the bottom of the header to collapse and expand the section. This might be useful when you want to see more rows in a large journal entry with many rows.

Step 1: Initiating a new journal entry (continued)

Many features do not appear until the journal is first saved (the green squares below). This includes the Submit button, Journal ID, Journal state path, Sticky notes, and the Backup, Approvals and Tracking tabs.

Please see the full preparers guide on more information on sticky notes.

Save early to make all features available to you, and **save often** to avoid losing your work.

e-JOURNAL ENTRY
FINANCIAL & BUSINESS SERVICES
THE UNIVERSITY OF UTAH

Home Downloads Journal Search Rules Administration Journal ID: Search New Journal

Journal Entry **Sharing** Save Submit Printer-friendly PDF Cancel

JOURNAL ID: EJ00008565 ACCTG DATE: 03/30/2011

CREATED ON: 03/24/2011 OWNER ORGID: 01111 - FINANCIAL SOLUTIONS

GL POSTED DATE: FROM EXCEL: No RECYCLED: Yes -

SOURCE: EJD - eJournal - Campus Departments PREPARED BY: 00342646 - BAGGETT, REBECCA
SUB SOURCE: 000 - NO SUB SOURCE DEFINED PREPARER EMAIL: rebecca.baggett@utah.edu
REQUESTED BY: PREPARER PHONE: 801/581-5760

EXPLANATION: Demo journal.

Journal State: Preparing → Dept Approvals → Awaiting Backup → CA Approvals → Complete → Posted to GL


Backup Documentation
☐ Retained in Department
☐ Backup Being Mailed
Cover Sheet

Lines Backup Documentation Approvals Tracking

Select Lines Journal Lines \$15,000.00 \$15,000.00

Fields in the Header section

The entry fields and functions available to process the journal are described in this section.


e-JOURNAL ENTRY
 FINANCIAL & BUSINESS SERVICES
 THE UNIVERSITY OF UTAH

Home Downloads Journal Search Rules Administration Journal ID:

Journal Entry Sharing

JOURNAL ID: EJ0000856 **1** ACCTG DATE: 03/30/2011

JOURNAL STATE:

CREATED ON: 03/24/2011 **2** OWNER ORGID: 01111 - FINANCIAL SOLUTIONS

GL POSTED DATE:
 FROM EXCEL: No
 RECYCLED: Yes -

SOURCE: EJD - eJournal - Campus Departments
 SUB SOURCE: 000 - NO SUB SOURCE DEFINED
 REQUESTED BY:

PREPARED BY: 00342646 - BAGGETT, REBECCA
 PREPARER EMAIL: rebecca.baggett@utah.edu
 PREPARER PHONE: 801/581-5760

Backup Documentation
☐ Retained in Department
☐ Backup Being Mailed

EXPLANATION: Demo journal. **5**

Lines Backup Documentation Approvals Tracking

Select Lines Journal Lines

\$15,000.00 \$15,000.00

1. **ACCTG DATE:** Required. Accepts several input formats, including 07/01/2017, 7-1-17, 070117. The month and year you specify will determine the accounting period the transaction posts to, and must be in an open period.
2. **OWNER ORGID:** Pre-populated from your Preparer security access record. Most users will have only one Owner Org, but if you have multiple, select the Org ultimately responsible for the transaction.
3. **REQUESTED BY:** An optional, open text field. Enter the requestor's name, a requesting organization, or the like. If the requestor is also the preparer, you may leave this field blank.
4. **PREPARER PHONE:** Required (pre-filled if your phone # is on record in HR).
5. **EXPLANATION:** Required. Should be brief and succinct, but complete and free of abbreviations, acronyms, or codes that may not be recognizable to some viewers or to someone looking at the transaction years down the road.

Step 2: Filling Out the Form (continued)

Fields in the Lines section

e-JOURNAL ENTRY
FINANCIAL & BUSINESS SERVICES
THE UNIVERSITY OF UTAH

MARCI JANE BARKER Logout

Home Downloads Journal Search Journal ID: Search New Journal

Journal Entry Sharing Save Submit Printer-friendly PDF Cancel

JOURNAL ID: EJ00219312 ACCTG DATE: 06/01/2017 JOURNAL STATE: Preparing

CREATED ON: 04/21/2017 OWNER ORGID: 00348 - GENERAL ACCOUNTING - CAMPUS

GL POSTED DATE: SOURCE: EJG - eJournal - General Accounting PREPARED BY: 00047288 - BARKER, MARCI JANE

FROM EXCEL: No SUB SOURCE: 000 - NO SUB SOURCE DEFINED PREPARER EMAIL: marci.barker@admin.utah.edu

RECYCLED: Yes - Recycled REQUESTED BY: Marci Barker PREPARER PHONE: 801-581-7286

EXPLANATION: Demo Entry

Backup Documentation

☐ Retained in Department

☐ Backup Being Mailed

Cover Sheet

Lines Backup Documentation Approvals Tracking

Select Lines Journal Lines \$0.00 \$0.00 Net: \$0.00

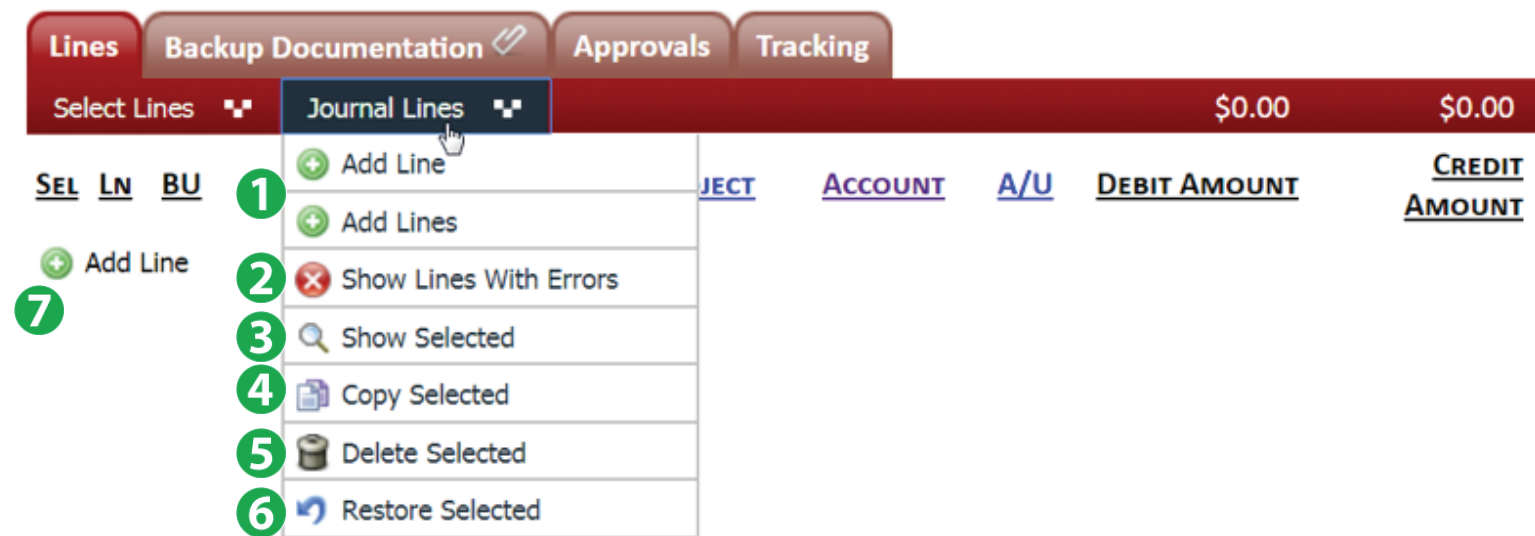
SEL	LN	BU	ORG	FUND	ACTIVITY	PROJECT	ACCOUNT	A/U	DEBIT AMOUNT	CREDIT AMOUNT	LINE DESCRIPTION (30 CHAR MAX)	REFERENCE	RELATED JE	STATUS	ERRORS
-----	----	----	-----	------	----------	---------	---------	-----	--------------	---------------	--------------------------------	-----------	------------	--------	--------

1. **SEL:** a selection box. Used to select lines you want to take an action on.
2. **LN:** a system generated line #. Note that when a line is deleted, the number does not get reassigned, so there may be skips in the sequence.
3. **CHARTFIELDS:** the BU, ORG, FUND, ACTIVITY, PROJECT, and ACCOUNT headings are hyperlinks to the Chart field lookup application. The A/U heading links to a site explaining appropriate use of the A/U chartfield.
4. **DEBIT/CREDIT AMOUNT:** must specify one and leave the other blank. Running totals display above the column headings in the red bar.
5. **LINE DESCRIPTION:** Required. This will appear on the Journal Detail report of Management Reports.
6. **REFERENCE:** Optional reference field for departmental use, up to 10 characters max. Will show on the Management Reports in place of the "J#" generated by the old General Journal Entry pdf submission form, which is no longer applicable with this web application.
7. **RELATED JE:** Optional. Can be used to identify a related journal entry (for example, is this entry correcting a previous entry?). Must be an existing, 10 digit journal id value. Once the journal is submitted, the field will become a hyperlink to the related journal, making it very easy for approvers and viewers to look up the related entry with just a click.
 - If the Related JE is an e-Journal, the link opens the Journal in the current window (users can select the browser back button to return to the original e-Journal). Note: it is not necessary to attach an image of a related e-Journal Entry as backup documentation if it is recorded in this field.
 - If the Related JE is not an e-Journal, the hyperlink will open the Journal Detail Search application with pre-filled search criteria.

Step 2: Filling Out the Form (continued)

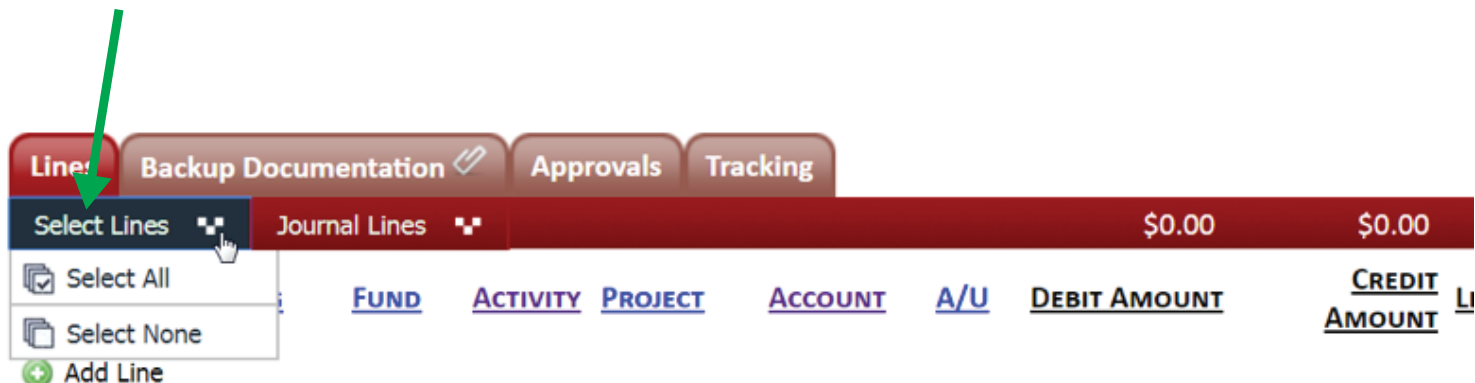
Line Functions

Click on the “Journal Lines” menu in the red bar above the lines to find functions that help expedite entry of the lines:



1. **Add Line(s)** – can add one or multiple blank lines at a time.
2. **Show Lines With Errors** – displays lines with errors in a separate window so they can be easily found on a large entry and resolved.
3. **Show Selected** – if you want to focus on certain lines and hide all others, select the lines you want to view then click this function.
4. **Copy Selected** – replicates all data on the line(s) selected into new lines, which can expedite entry of lines with common values. Take care when you copy lines that you change the values that should differ.
5. **Delete Selected** – removes selected lines from the journal entry.
6. **Restore Selected** – only applicable if Central Administration has marked the line to not be sent to the GL. This function restores the line so it will be sent.
7. **Add Line Button** - can add one blank line at a time.

Use the **Select Lines** menu to check or uncheck all lines at once.



Step 2: Filling Out the Form (continued)

Journal Functions

Functions that affect the entire journal are available behind menus in the red bar above the journal header (see green box below). Only the most commonly used functions are displayed right on the bar:

The screenshot shows the top of the e-JOURNAL ENTRY interface. A red bar at the top contains the University of Utah logo, the text "e-JOURNAL ENTRY FINANCIAL & BUSINESS SERVICES THE UNIVERSITY OF UTAH", and a user profile "MARCI JANE BARKER Logout". Below this is a navigation bar with "Home", "Downloads", "Journal", and "Search" buttons. A "Journal ID:" field with a "Search" button and a "New Journal" button are also present. A green arrow points to the "Journal Entry" menu in the red bar, which is open, showing options: "Save", "Validate", "Submit", "Cancel", "Trash", and "RECYCLED:". The main form area displays journal details for EJ00219312, including the accounting date (06/01/2017), owner org ID (00348 - GENERAL ACCOUNTING - CAMPUS), source (EJG - eJournal - General Accounting), and preparer information (00047288 - BARKER, MARCI JANE).

For example, notice in the image above that "Save" and "Submit" are available on the menu to the left and displayed right on the bar. However, the "Validate" and "Trash" functions are only available in the drop down menu. The Journal Entry menu functions are described below:


This screenshot is a closer view of the Journal Entry menu and the journal header bar. The menu is open, showing five numbered items: 1. Save, 2. Validate, 3. Submit, 4. Cancel, and 5. Trash. The background shows the same journal details as the previous screenshot, including the journal ID EJ00219312 and the accounting date 06/01/2017.

1. **Save** – saves for later. Note that blank lines are deleted each time the journal is saved.
2. **Validate** – checks the journal content to verify the chartfield combinations are valid, the journal balances, all required fields have been filled out, etc. See Step 3 below for guidance on working through any errors found.
3. **Submit** – saves, validates, and if no errors are found, submits the journal.
4. **Cancel** – closes the journal without saving (goes back to the last save).
5. **Trash** – discards the journal entry "permanently" and removes it from all user worklists. A trashed journal can be revived using the "recycle" function.

Step 3: Resolving Validation Errors

When you Validate or Submit an entry, the web application will run a series of checks to verify the information entered on the journal is valid, including:

- Is the Accounting Date in an open accounting period?
- Has an Explanation been provided?
- Are the chartfield combinations valid? For example,
 - Does the Activity # belong to the OrgID specified?
 - Does the Project # beginning with a '5' have Fund 5000 specified?
 - Do the BU 01 expense account lines have an A/U value specified?

When there are errors, the application will return a message at the top of the page indicating errors found. Details about each error are shown behind the  error icon that will show next to the header field in error or in the Errors column of the applicable line.

Hover over the icon(s) to see the detailed description of the error. Errors must be corrected before the journal can be submitted.

Error - This journal did not pass validation, you must correct all errors before it will pass. Journal errors are indicated by the red "x" icon next to the missing or invalid value. Hover over the error icon for a detailed description of the error(s). Lines with errors will have a red shaded background and fields in error will be highlighted red. A detailed description of the line error(s) is available by hovering over the error icon displayed in the far right column of the line.

Journal Entry **Sharing** **Save** **Submit** **Printer-friendly PDF** **Cancel**

JOURNAL ID: EJ00000434 **ACCTG DATE:** 02/18/2011 **JOURNAL STATE:**

CREATED ON: 06/04/2010 **PREPARED BY:** 00342646 - BAGGETT, REBECCA

GL POSTED DATE: **PREPARED BY:** 00342646 - BAGGETT, REBECCA

FROM EXCEL: No **PREPARED BY:** 00342646 - BAGGETT, REBECCA

RECYCLED: Yes - **PREPARED BY:** 00342646 - BAGGETT, REBECCA

Backup Documentation **PREPARED BY:** 00342646 - BAGGETT, REBECCA

☐ Retained in Department

☒ Backup Being Mailed

Backup Needed **PREPARED BY:** 00342646 - BAGGETT, REBECCA

COVER SHEET

Sub Source: 000 - NO SUB SOURCE DEFINED **PREPARED BY:** 00342646 - BAGGETT, REBECCA

REQUESTED BY: **PREPARED BY:** 00342646 - BAGGETT, REBECCA

EXPLANATION: demo journal **PREPARED BY:** 00342646 - BAGGETT, REBECCA

Lines **Backup Documentation** **Approvals** **Tracking**

Select Lines **Journal Lines** **\$75,000.00** **\$75,000.00**

SEL	LN	BU	ORG	FUND	ACTIVITY	PROJECT	ACCOUNT	A/U	DEBIT AMOUNT	CREDIT AMOUNT	LINE DESCRIPTION (30 CHAR MAX)	REFERENCE	RELATED JE	STATUS	ERRORS
<input type="checkbox"/>	13	01	01111	1001	13095		65000		75,000.00		demo			P	
<input type="checkbox"/>	14	01	00241	6000	22342		49998			75,000.00				P	

Step 4: Providing Backup Documentation

Q: When should backup documentation be provided?

The general rule of thumb is that the journal entry should be self-explanatory. If the journal explanation and content are not sufficient to justify the transaction, supporting documentation should supplement the entry.

If the backup is simply the content of a related journal entry, the related entry may be identified in the journal line "Related JE" field (see below) instead of being captured in a file and attached.

The screenshot shows the 'Backup Documentation' tab in the journal entry interface. The 'Related JE' field in the 'REFERENCE' column is highlighted with a green box. The table below shows two journal lines, both with 'EJ00001000' in the 'Related JE' field.

SEL	LN	BU	ORG	FUND	ACTIVITY	PROJECT	ACCOUNT	A/U	DEBIT AMOUNT	CREDIT AMOUNT	LINE DESCRIPTION (30 CHAR MAX)	REFERENCE	RELATED JE
<input type="checkbox"/>	1												EJ00001000
<input type="checkbox"/>	2												EJ00001000

Submitted backup documentation should be brief and succinct, free of extraneous information that may be part of an original document but not pertinent to the context of the journal. There are three different ways to submit backup:

- Attach backup documentation electronically to the e-Journal
- Retain back up documentation in the department
- Mail or hand deliver backup documentation to General Accounting

Submitting electronic backup with an e-Journal

If the backup is already in electronic form, or you have the means to convert it into electronic form (scanning to create a pdf file), the best way to attach it is to upload the file right to the journal from the Backup Documentation tab. When submitted electronically, the approval routing can begin right away, significantly reducing turnaround time for a journal to be posted to the General Ledger.

Select the **Add Backup** button to browse your computer files and select the document(s) for upload. Supported files: pdf, doc, docx, xls, xlsx, jpg, png, txt. When backup is attached, a **paperclip icon** displays on the Backup Documentation tab.

The screenshot shows the 'Backup Documentation' tab with a document attached. A green arrow points from the 'Add Backup' button to the 'Add Backup' text in the table. Another green arrow points from the paperclip icon on the 'Backup Documentation' tab to the 'Add Backup' button.

SEL	Doc #	FILE NAME	DATE ADDED
<input type="checkbox"/>	1	Excel_webpage.docx	06/27/2017

Add Backup

Step 4: Providing Backup Documentation (continued)

For more information, see the [How to add Backup Documentation](#) document on the e-Journal Page.

If the backup is too voluminous to reasonably deliver electronically, contains sensitive information (i.e. social security numbers), or does not add to viewers understanding of the transaction, then it may be appropriate to retain the documentation in the department instead of submitting it with the e-Journal.

Retaining backup in the department

To retain Backup Documentation in the department, select the “Retained in Department” check box in the Backup Documentation section of the journal header. See the green box below. The department will be held responsible for retaining the documentation according to policy - hover over the blue information icon to the right of the text to see the policy terms.

Note: For everyday journal entries this is the preferable option.

The screenshot shows the e-JOURNAL ENTRY interface for The University of Utah. The top navigation bar includes Home, Downloads, Journal, Search, and a Journal ID field. The main form area displays journal entry details such as JOURNAL ID (EJ00219312), ACCTG DATE (06/01/2017), and JOURNAL STATE (Preparing). A green box highlights the Backup Documentation section, which contains two checkboxes: "Retained in Department" (checked) and "Backup Being Mailed" (unchecked). A blue information icon is next to the "Retained in Department" checkbox. Below the checkboxes is a "Cover Sheet" button. The interface also shows a "Lines" section with a table of journal entry lines.

This is a close-up of the Backup Documentation section. It shows the "Retained in Department" checkbox checked and the "Backup Being Mailed" checkbox unchecked. A blue information icon is next to the "Retained in Department" checkbox. A green arrow points from the information icon to the right, towards the text box.

In the case of Projects, documentation should be retained until three years after the final report date of the award. All other documentation should be retained for four years

Step 4: Providing Backup Documentation (continued)

Backup Being Mailed

Mail backup if you do not have the means to convert paper backup documentation into electronic form. Specify "Backup Being Mailed" (delivered) in the Backup Documentation section of the journal header.

The screenshot shows the 'e-JOURNAL ENTRY' interface for 'FINANCIAL & BUSINESS SERVICES THE UNIVERSITY OF UTAH'. The user is 'MARCI JANE BARKER'. The 'Journal ID' is 'EJ00219312'. The 'ACCTG DATE' is '06/01/2017'. The 'JOURNAL STATE' is 'Preparing'. The 'Backup Documentation' section is highlighted with a green box, showing the 'Backup Being Mailed' option selected. A green arrow points from this section to a magnified view below.

Backup Documentation

- ☐ Retained in Department
- ☒ Backup Being Mailed

[Cover Sheet](#)

Backup Documentation

- ☐ Retained in Department
- ☒ Backup Being Mailed

[Cover Sheet](#)

A hard copy of the backup should be sent to General Accounting (faxes will not be accepted due to the low quality of the image), and must be accompanied by an e-Journal cover sheet.

Click on the **Cover Sheet** button to generate the printable pdf. General Accounting will scan and attach the backup to the journal. They will not review journals for approval until the hard copy backup documentation has arrived and been attached.

The backup must be received in General Accounting by the journal cut-off date in order for the entry to be processed and posted in the specified accounting month.

If documentation for multiple journals is sent together, care must be taken to group the documentation with the appropriate cover sheet.

Step 5: Understanding and Managing Approval Routing

Departmental Approvals:

Central Administration does not require approval of e-Journals at the department-level, but preparers should follow their internal department's guidelines to determine whether departmental approvals are appropriate. A couple things to consider when thinking about adding approvers...

- The person who prepares/submits the entry is essentially signifying approval when submitting the entry, so there is no need for the preparer to also be an approver.
- Each person designated as a departmental approver must log in and approve the entry before the e-Journal will be passed on to Central Administration and ultimately processed and posted.
- The application provides an alternative option to "Share" e-Journals, which allows you to notify individuals of the transaction, but no action will be required of them in order for the entry to proceed. More information on the "Share" function is described in on page 23 of this guide.

There are two ways to add departmental approvers:

- Add an approver on each journal entry while it is being prepared or
- Adding default approvers to all journal entries prepared by the preparer

1. To add an approver on each journal entry while it is being prepared, navigate to the Approvals tab and use the "Add Dept. Approver" function (see circled text below). Specify the approver's Emplid (can be found using the spyglass—must be a current employee with an email address on employment record).

If multiple approvers are added, the preparer can specify whether they happen concurrently (expediting the approval process), by leaving the default "Level" equal to 1 on each approval row, or if they should occur in a particular order, entering the numeric order in the **Level** column.



SEL	ROLE	LEVEL	STATUS	EMPLID	NAME
<input type="checkbox"/>	Departmental Approval	1		00078858	BOMAN,RUNAR E
<input type="checkbox"/>	Departmental Approval	2		00356575	KAPOS,TODD JOHN
<input type="button" value="+ Add Dept. Approver"/>					

Step 5: Understanding and Managing Approval Routing (continued)

2. A Preparer can have up to three default approvers added to his/her preparer security access form. Each default approver will be auto assigned to journal entries created by the Preparer, and must open and approve each entry the Preparer submits (unless manually removed from entries).

If you are already set up with access to e-Journals, you can add approvers or change the approvers using the “e-Journal Change Approver / Change Access Form”. These approvers will automatically default on each journal entry that you create.

Departmental approvers can also be removed by the Preparer at any time before the approval is satisfied.

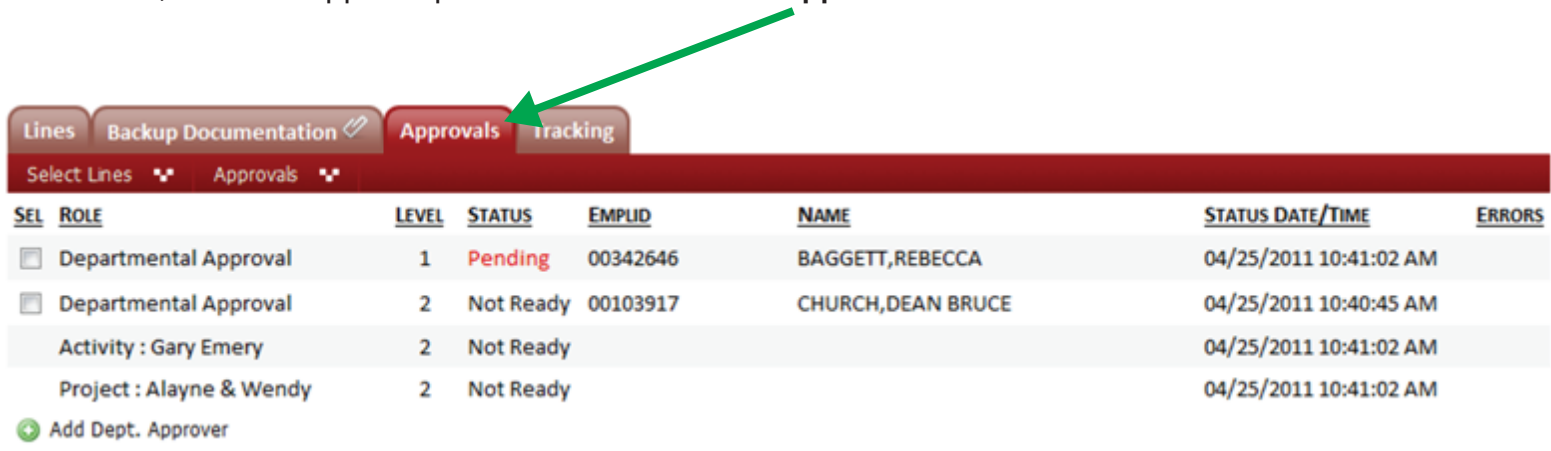
If an approver is on vacation or otherwise unavailable to approve, simply delete the approver (select the approval row, click on the “Approvals” menu in the red heading bar, and choose “Delete Selected”) and, if desired, add an alternate approver.

Departmental approvers do not have the ability to modify the content of the journal. They can either “Approve” or “Recycle” it. Recycling returns the entry to the Preparer to be modified and resubmitted, and resets the approvals (must go through all approvals again). The preparer can also use the Recycle function to recall a submitted journal or revive a trashed journal, so long as the “Journal State” is not already in the “Complete” or “Posted” state. See the upper right hand corner of your e-Journal for the “state” of the journal. See page 18 in this guide for more information on the “State of an e-Journal”.

For more information, see the “How do I Change the Departmental Approver” document on the e-Journal webpage.

The Approval Path:

The approval path will show who will approve the e-journal and the approval status. After the e-Journal is submitted, the entire approval path will be visible on the **Approvals** tab.



Select Lines ▾ Approvals ▾							
SEL	ROLE	LEVEL	STATUS	EMPLID	NAME	STATUS DATE/TIME	ERRORS
<input type="checkbox"/>	Departmental Approval	1	Pending	00342646	BAGGETT,REBECCA	04/25/2011 10:41:02 AM	
<input type="checkbox"/>	Departmental Approval	2	Not Ready	00103917	CHURCH,DEAN BRUCE	04/25/2011 10:40:45 AM	
	Activity : Gary Emery	2	Not Ready			04/25/2011 10:41:02 AM	
	Project : Alayne & Wendy	2	Not Ready			04/25/2011 10:41:02 AM	
+ Add Dept. Approver							

The status of Level 1 departmental approvals changes from “Not Ready” to “Pending”, and the approvers are sent an email notification of the pending approval. The message includes the approval deadline, a direct link to the e-Journal, and provides basic instructions on how to satisfy the approval. When all Level 1 departmental approvals have been satisfied, Level 2 approvals will change to “Pending” and approvers will be notified, and so on. When all departmental approvals have been satisfied, central approvals will begin.

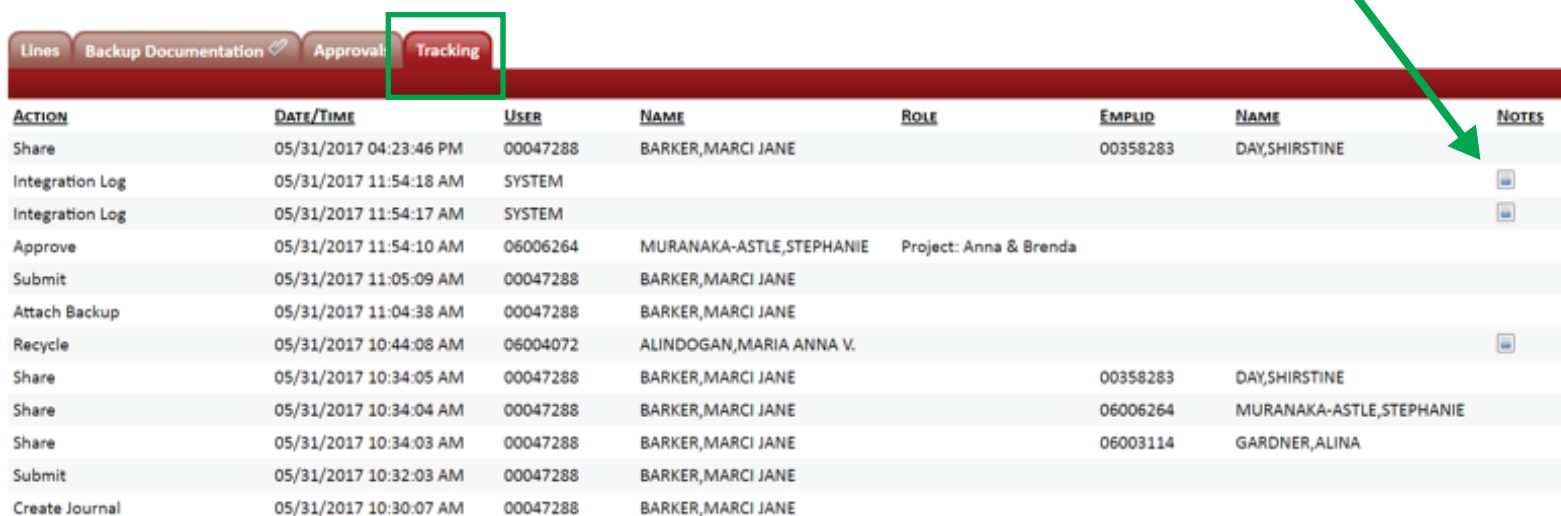
Step 5: Understanding and Managing Approval Routing (continued)

Tracking a single entry:

Viewers can open an e-Journal to monitor and track an entry in detail. As shown previously, the specific approval routing path and status is available on the Approvals tab. Also available is a complete audit trail of actions that have been taken on the journal. See the **Tracking Tab** in the green box below.

The Tracking tab displays the following:

- Action taken
- When it was taken
- By whom (User/Name)
- Approval Role that was satisfied, if applicable
- Recipient of the action (Emplid/Name), if applicable
- User comments supplementing the action, if applicable (visible by hovering over the **Notes** icon):



<u>ACTION</u>	<u>DATE/TIME</u>	<u>USER</u>	<u>NAME</u>	<u>ROLE</u>	<u>EMPLID</u>	<u>NAME</u>	<u>NOTES</u>
Share	05/31/2017 04:23:46 PM	00047288	BARKER,MARCI JANE		00358283	DAY,SHIRSTINE	
Integration Log	05/31/2017 11:54:18 AM	SYSTEM					
Integration Log	05/31/2017 11:54:17 AM	SYSTEM					
Approve	05/31/2017 11:54:10 AM	06006264	MURANAKA-ASTLE,STEPHANIE	Project: Anna & Brenda			
Submit	05/31/2017 11:05:09 AM	00047288	BARKER,MARCI JANE				
Attach Backup	05/31/2017 11:04:38 AM	00047288	BARKER,MARCI JANE				
Recycle	05/31/2017 10:44:08 AM	06004072	ALINDOGAN,MARIA ANNA V.				
Share	05/31/2017 10:34:05 AM	00047288	BARKER,MARCI JANE		00358283	DAY,SHIRSTINE	
Share	05/31/2017 10:34:04 AM	00047288	BARKER,MARCI JANE		06006264	MURANAKA-ASTLE,STEPHANIE	
Share	05/31/2017 10:34:03 AM	00047288	BARKER,MARCI JANE		06003114	GARDNER,ALINA	
Submit	05/31/2017 10:32:03 AM	00047288	BARKER,MARCI JANE				
Create Journal	05/31/2017 10:30:07 AM	00047288	BARKER,MARCI JANE				

Step 6: Submitting the Entry

e-Journals must be submitted by midnight of the journal cutoff date posted on the [Accounting Calendar](#).

If any Departmental Approvals are added, approvals must also be satisfied by midnight, and any hardcopy backup submission must be delivered to General Accounting by end of business the same day.

Keep these requirements in mind as you prepare journal entries near the cutoff deadline and decide whether to mail in backup and/or add departmental approvers.


Additional Information

Monitoring & Tracking an e-Journal Status

State of an e-Journal

Understanding the path or flow of e-Journals, how they fit into the month-end closing process and the tools available in the web application for tracking journals will allow Preparers to effectively manage their portfolio of e-Journal entries.

An e-Journal routes electronically to individuals involved in processing and approving the journal. The general path of each e-Journal is depicted at the top of the header section of every journal entry in the web application when it is first **saved** - see the green box below.



e-JOURNAL ENTRY
FINANCIAL & BUSINESS SERVICES
THE UNIVERSITY OF UTAH

MARCI JANE BARKER [Logout](#)

[Home](#) [Downloads](#) [Journal](#) [Search](#) Journal ID: [Search](#) [New Journal](#)

Journal Entry

Sharing

JOURNAL ID: EJ00219312

CREATED ON: 04/21/2017

GL POSTED DATE:

FROM EXCEL: No

RECYCLED: Yes

Backup Documentation

☐ Retained in Department

☐ Backup Being Mailed

[Cover Sheet](#)

ACCTG DATE: 06/01/2017

OWNER ORGID: 00348 - GENERAL ACCOUNTING - CAMPUS

SOURCE: EJG - eJournal - General Accounting

SUB SOURCE: 000 - NO SUB SOURCE DEFINED

REQUESTED BY: Marci Barker

EXPLANATION: Demo Entry

PREPARED BY: 00047200 - BARKER, MARCI JANE

PREPARER EMAIL: marci.barker@admin.utah.edu

PREPARER PHONE: 801-581-7296

JOURNAL STATE:

Preparing

Dept Approvals

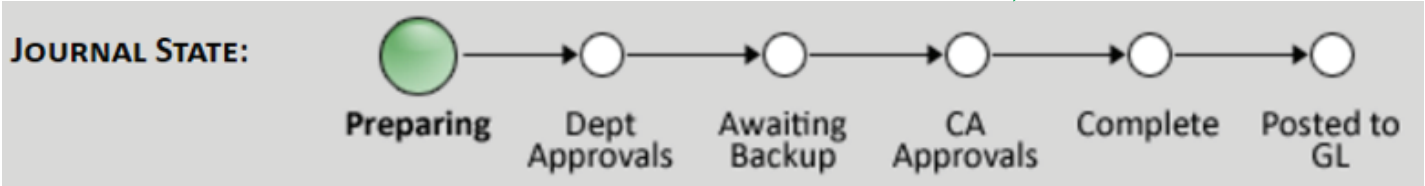
Awaiting Backup

CA Approvals

Complete

Posted to GL

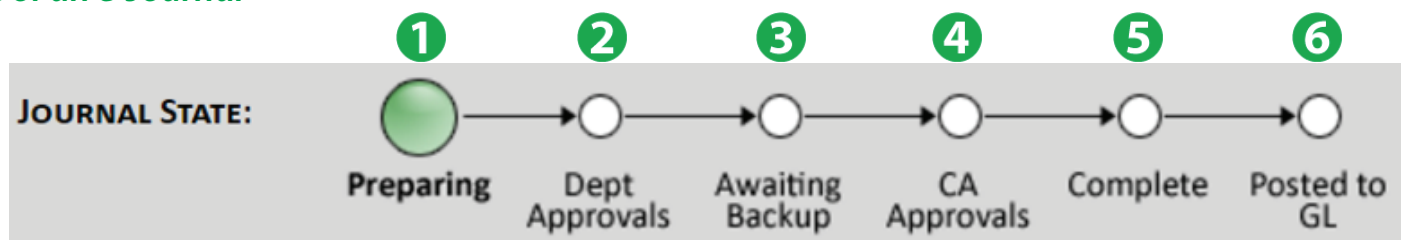
The image below is taken from one e-Journal entry which shows the general path. Note the current status is highlighted in green, showing the journal is still being prepared.



Note: e-Journals pending departmental approvals or backup documentation are the Preparer’s responsibility to expedite prior to the journal entry cut-off date.

Monitoring & Tracking e-Journals Status (continued)

State of an e-Journal



Each step in the path identifies the e-Journal's processing status and is thus referred to as the "**Journal State**". Below are definitions of each state:

1. **Preparing** – in the process of being created and still needs to be submitted.
2. **Dept Approvals** – the journal has been submitted, departmental approvals are pending.
3. **Awaiting Backup** – backup documentation is pending (the preparer has specified that backup is being mailed and it is still en route, or backup has been requested by Central Administration and has not yet been provided).
4. **CA Approvals** – pending approval(s) by Central Administration.
5. **Complete** - final e-Journal state. Once an e-Journal is "Complete", it is integrated into the University's accounting system (PeopleSoft).
6. **Posted to GL** - e-Journals will generally be posted to the General Ledger each business evening. Note the **Posted Date** is displayed in the Journal Header.

e-JOURNAL ENTRY
FINANCIAL & BUSINESS SERVICES
THE UNIVERSITY OF UTAH

Home Downloads Journal Search Journal ID: []

Sharing ▾

JOURNAL ID:	EJ00227048	ACCTG DATE:
CREATED ON:	06/20/2017	OWNER ORGID:
GL POSTED DATE:	06/20/2017	SOURCE:
FROM EXCEL:	No	SUB SOURCE:
RECYCLED:	No	REQUESTED BY:
Backup Documentation		EXPLANATION:
<input type="checkbox"/> Retained in Department ⓘ		
<input type="checkbox"/> Backup Being Mailed		
<button>Cover Sheet</button>		

For more information see the [*How to find the status of an e-Journal*](#) document on the e-Journal Page.

Monitoring & Tracking e-Journals Status (continued)

Worklists:

Preparers have three worklists on the e-Journal Home page to help manage the portfolio of e-Journals they've initiated from the time of creation until they are posted to the General Ledger:

As shown below, the 3 worklists are:

1. Preparing
2. My Submitted Journals
3. My Completed Journals

The screenshot displays the 'e-JOURNAL ENTRY' interface for 'FINANCIAL & BUSINESS SERVICES' at 'THE UNIVERSITY OF UTAH'. The page features a navigation bar with 'Home', 'Downloads', 'Journal', and 'Search' tabs. A search bar is present with a 'Journal ID' field and 'Search' and 'New Journal' buttons. On the left, a 'Search Journals' sidebar includes filters for 'JOURNAL ID', 'STATE', 'ACCTNG DT', 'ORG ID', 'PREPARER EMPID', and an 'INCLUDE TRASHED JOURNALS?' checkbox, along with a 'Search' button. Below this is a 'Help & Documentation' section with links to various guides and forums.

The main content area is titled ':: My e-Journal Entry Home' and contains three primary worklists, each highlighted with a green box and a numbered callout:

- 1. Preparing:** A table showing 2 journals in progress. The table has columns: JID, ACCTNG DT, EXPLANATION, and STICKY NOTES. The first row shows JID EJ00000299, ACCTNG DT 08/31/2010, and EXPLANATION.
- 2. My Submitted Journals:** A table showing 3 journals submitted. The table has columns: JID, ACCTNG DT, STATE, EXPLANATION, MESSAGES, and NOTES. The first row shows JID EJ00000302, ACCTNG DT 09/08/2010, and STATE Dept Approvals.
- 3. My Completed Journals:** A table showing 4 journals completed. The table has columns: JID, ACCTNG DT, GL STATUS, POSTED DT, and EXPLANATION. The first row shows JID EJ00000292, ACCTNG DT 08/01/2010, GL STATUS Posted to GL, and POSTED DT 09/07/2010.

To the right of the 'Preparing' worklist, there is an 'Awaiting Approval' section showing 1 journal(s) with a status of 'Pending'.

Monitoring & Tracking e-Journals Status (continued)

1. **Preparing** - displays entries that have not been submitted. The journal explanation, your personal sticky notes, and comments on recycled journals can all be viewed right from the worklist by hovering over the corresponding images.

Preparing				
8 Journal(s)				
JID	ACCTNG DT	EXPLANATION	STICKY NOTES	
EJ00000219	01/01/2010			
EJ00000220	01/01/2010		Journal Explanation HEUG Alliance Conference final travel reimbursement.	
EJ00000232	01/15/2010			

2. **My Submitted Journals** – displays all journals that have been submitted but are not yet complete, and therefore still requiring someone’s action. The list is in order of the flow of e-Journals, so those in the Department’s court that the Preparer must ensure meet the monthly cutoff (pending Dept Approvals or Awaiting Backup) are listed first, with those that have “reached” Central Administration listed last.

My Submitted Journals						
3 Journal(s)						
Pending: <input type="text" value="All"/>						
JID	ACCTNG DT	STATE	EXPLANATION	MESSAGES	NOTES	
EJ00008560	03/31/2011	Dept Approvals				
EJ00008553	03/22/2011	Awaiting Backup				
EJ00008555	03/23/2011	CA Approvals				

3. **My Completed Journals** – displays completed journals (no longer pending anyone’s action) for the “Open” accounting period, by default, with the option to view those in the accounting period “Last Closed”. This worklist verifies that journals have finished processing and shows when they were posted to the General Ledger.

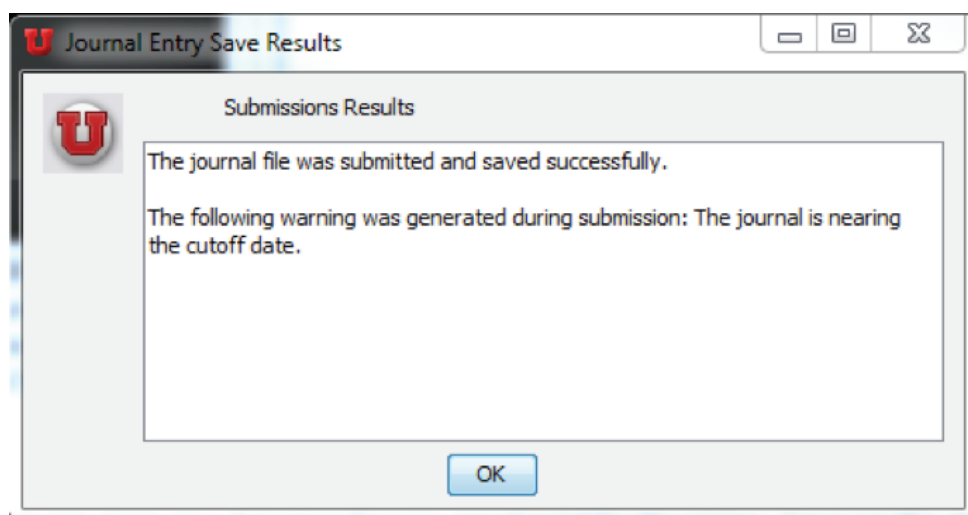
My Completed Journals					
2 Journal(s)					
Accounting Period: <input type="text" value="Open"/>					
JID	ACCTNG DT	GL STATUS	POSTED DT	EXPLANATION	
EJ00008561	03/26/2011				
EJ00008554	03/15/2011	Posted to GL	03/14/2011		

Month-End Closing Process

It is the Preparer's responsibility to verify that all departmental approvals and backup documentation for the entry are submitted, and the journal entry is posted to the General Ledger prior to the month end close date.

Near the end of each month, preparer's will see a warning message when submitting e-Journals, warning of the approaching journal entry cut-off date - see screen shot below.

The warning is a reminder that all departmental approvals must be completed and paper backup documentation received in General Accounting by the cut-off in order to be processed that month.



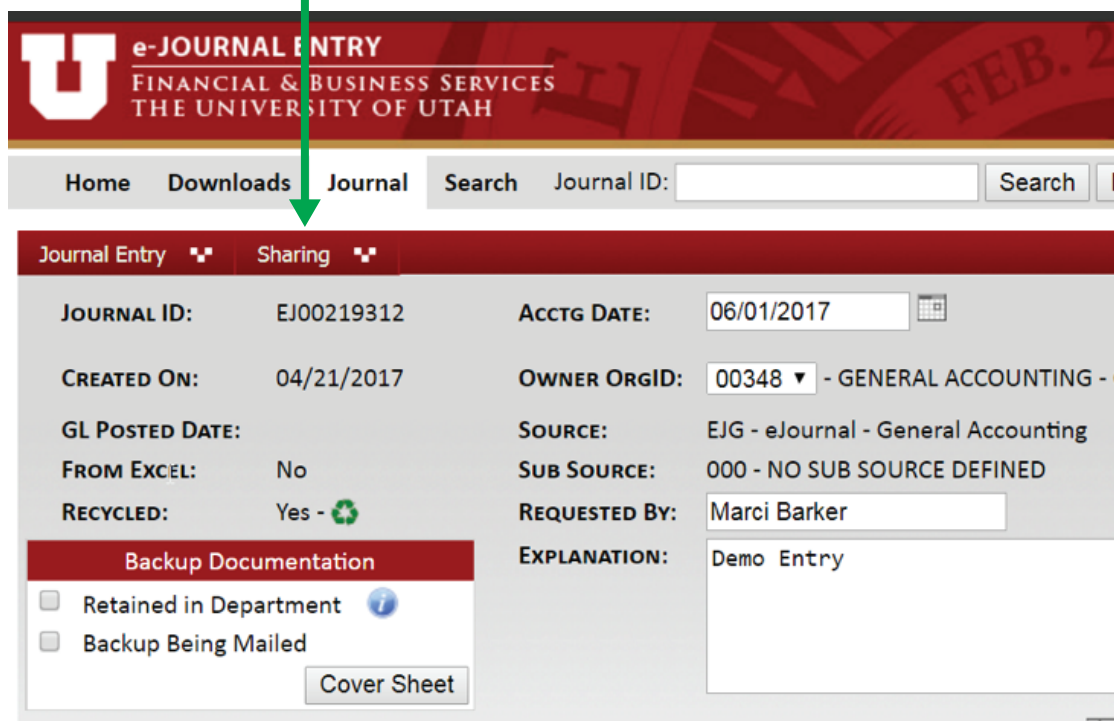
Following the cut-off date, the e-Journal system will prevent Preparers from submitting e-Journals for the accounting month being closed. Central Administration may discontinue accepting mailed in back-up documentation for the period, and Departmental Approvers will get an error if they attempt to approve the journal entry.

Their only option will be to recycle the e-Journal back to the Preparer so it can be resubmitted for the following accounting month. Central Administration then has just a few days to finish scanning mailed-in backup and routing journals through each area for CA approvals before all e-Journal activity is cut off for the period and month-end GL processing is done.

Sharing the E-Journal Entry

The application provides an option to “Share” e-Journals, which allows you to notify individuals of the transaction, but no action will be required of them in order for the entry to proceed with processing.

e-Journals can easily be shared with others when you want to notify them of a transaction, ask them a question about the entry, etc. Click on the **Sharing** menu in the red header bar above the journal header to find the “Share” function.



The screenshot displays the 'e-JOURNAL ENTRY' interface for 'FINANCIAL & BUSINESS SERVICES' at 'THE UNIVERSITY OF UTAH'. The top navigation bar includes 'Home', 'Downloads', 'Journal', and 'Search'. A green arrow points to the 'Sharing' menu in the red header bar. Below the header, the 'Journal Entry' tab is active, showing details for Journal ID EJ00219312, Acctg Date 06/01/2017, Created On 04/21/2017, Owner OrgID 00348, GL Posted Date, Source EJG - eJournal - General Accounting, Sub Source 000 - NO SUB SOURCE DEFINED, Requested By Marci Barker, and Explanation Demo Entry. A 'Backup Documentation' section contains checkboxes for 'Retained in Department' and 'Backup Being Mailed', along with a 'Cover Sheet' button.

Sharing does two key things:

1. Automatically gives recipients security authorization to view the journal, you do not need to worry about whether they have access.
2. Sends an email message composed by you to the individuals specified. The message will not be visible to anyone else. The email contains a direct link to the e-Journal.

For more information, see the [**How do I share an e-Journal with others**](#) document on the e-Journal webpage.

Advanced search options

Unlike the user worklists, the e-Journal **Search** menus allow any user to monitor e-Journals from a variety of perspectives. See the green box below for location of the Search tab.

They can be monitored globally (i.e., show me all e-Journals pending Departmental Approvals) or more narrowly such as by OrgID (i.e. show me all e-Journals where Chemistry is the Owning Org), by person (i.e. show me all journals created by Joe Smith or pending Joe Smith's approval), by chartfield, historical, current, etc. The results can be sorted dynamically by multiple columns by holding down the Shift key and clicking the columnar headings.

Note: when searching for a single Journal ID, only the last digits of the Journal ID need to be entered into the search box. The leading 'EJ' and Zeros may be omitted.

For more information, see the [How do I use the search feature?](#) document on the e-Journal webpage.

e-JOURNAL ENTRY
FINANCIAL & BUSINESS SERVICES
THE UNIVERSITY OF UTAH

Home Downloads Journal **Search** Journal ID: Search New Journal

JOURNAL
Journal ID:
From Accounting Date: 03/01/2011 To Accounting Date: 03/31/2011
Backup:
Owner OrgID: Source:
State: CA Approvals GL Status:
Preparer emplid: Recycled:
Include Trashed Journals? ☐

JOURNAL LINES
BU:
OrgID:
Fund:
Activity:
Project:
Account Range: to

APPROVALS
CA Other / Dept. emplid: Approval role:
Approval Status: Search

Click on the Journal ID to open the e-Journal. If the Journal ID does not display as a hyperlink, you are not authorized to view the journal.

Search Results

JOURNAL ID	ACCTING DATE	STATE	ORGID	SOURCE	GL STATUS	BACKUP	EXPLANATION	NOTE	RECYCLED	PREPARED BY	PREPARE PHONE
EJ00008488	03/01/2011	CA Approvals	00348	EJG					N	HEAPS,DAVID B	801/581-5054
EJ00008555	03/23/2011	CA Approvals	01111	EJD					N	BAGGETT,REBECCA	801/581-5760

Please see the e-Journal Home page for the complete Preparer's guide and for more information regarding e-Journal entries.

For reoccurring or more voluminous entries, the excel upload option for e-Journals might be a good solution for these entries.

For more information go to the [Excel Upload Option](#) page on the e-Journal webpage.